PTO/SB/08A (04-07)

Approved for use through 09/30/2007. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

Examiner Signature

| Complete if Known      |                    |  |  |  |
|------------------------|--------------------|--|--|--|
| Application Number     | 10/681,821         |  |  |  |
| Filing Date            | October 07, 2003   |  |  |  |
| First Named Inventor   | Anant Hegde        |  |  |  |
| Art Unit               | 3762               |  |  |  |
| Examiner Name          | STOKLOSA, JOSEPH A |  |  |  |
| Attorney Docket Number | 10233-701.201      |  |  |  |

| Examiner     | Cite | Document Number                          | Publication Date | Name of Patentee or         | Pages, Columns, Lines, Where                    |
|--------------|------|--|------------------|-----------------------------|---|
| Initials* No | No 1 | Number-Kind Code <sup>2</sup> (Filtrown) | MM-DD-YYYY       | Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |
|              | 43   | <sup>US-</sup> 2003/0092964A1            | 5/15/2003        | Kim et al.                  |   |
|              | 44   | US- 2003/0212306A1                       | 11/13/2003       | Banik, Michael              |   |
|              | 45   | US- 2004/0242956A1                       | 12/2/2004        | Scorvo, Sean                |   |
|              | 55   | <sup>US-</sup> 2006/0047180A1            | 3/12/2006        | Hegde et al.                |   |
|              | 52   | <sup>US-</sup> 6,511,508                 | 1/28/2003        | Shahinpoor et al.           |   |
|              | 56   | <sup>US-</sup> 7,198,595                 | 4/3/2007         | Hegde et al.                |   |
|              |      | US-                                      |                  |                             |   |

| FOREIGN PATENT DOCUMENTS |              |   |                     |  |   |                |
|--------------------------|--------------|---|---------------------|--|---|----------------|
|                          | Cite<br>No 1 |   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | П              |
|                          |              | Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (If known) | MM-DD-YYYY          |  |   | T <sup>6</sup> |
|                          |              |   |                     |  |   |                |
|                          |              |   |                     |  |   | ⊢              |
|                          |              |   | +                   |  |   | Н              |
|                          |              |   |                     |  |   |                |
|                          |              |   |                     |  |   |                |

EXAMINER: Initial If reference considered, whether or not citation is in conformance with MPEP 609 Draw line through citation if not in conformance and not Examples, make a reversion consecution, whether or not clation is an compromate with server foot uniform interest monthly clatified in Front in Committee and Lagrangian (Lagrangian Lagrangian Lagran Translation is attached

Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, morbiding gathering, preparing, and subunifing the completed application from the USPTO. Time will vary depending upon the individual case. Any comments and trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

Approved for use through 0980/2027. OMB 085-1-0231.
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information upless it contains a valid Office ontire inumber. Complete if Known Substitute for form 1449/PTO Application Number 10/681,821 INFORMATION DISCLOSURE Filing Date October 07, 2003 STATEMENT BY APPLICANT First Named Inventor Anant Hegde Art Unit 3762 (Use as many sheets as necessary) Examiner Name STOKLOSA, JOSEPH A Attorney Docket Number Sheet 2 2 10233-701.201 of

| Examiner<br>Initials* |    |  | T <sup>2</sup> |
|-----------------------|----|--|----------------|
|                       | 58 | Hegde et al. U.S. Pat. App. # 11/748,410 entitled "Vascular Assist Device and Methods," filed 5/14/2007. |                |
|                       | 59 | Hegde et al. U.S. Pat. App. # 11/748,418 entitled "Vascular Assist Device and Methods," filed 5/14/2007. |                |
|                       |    | ± 2'   |                |
|                       |    |  |                |
|                       |    |  |                |
|                       |    |  |                |
|                       |    |  |                |
|                       |    |  |                |
|                       |    |  |                |
|                       |    |  |                |

| Examine  | er  | Date       |  |
|----------|-----|------------|--|
| Signatur | e l | Considered |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Taplicant's unique clistion designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.98. The difformation is required to obtain or retain a benefit by the public which is to fille (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 nous to complete, including generaling, preparing, and submitting the completed application form to the USFTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burdon, should be sent to the Chief Intomation Officer, US. Patient and Trademarks Office, PO. Box 1450, Alexandrius, NZ 2313-1450, D NOT SEND FEES OR CONFIDENCE TORNOON TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.